

225/50818

U.S. APPLICATION NO. (if known, see
37 CFR 1.4)

10/019699

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| FORM PTO-1390 (REV 5-93) | | U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTORNEY'S DOCKET NUMBER |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | 225/50818 |
| INTERNATIONAL APPLICATION NO. PCT/EP00/05500 | | INTERNATIONAL FILING DATE 15 June 2000 | | PRIORITY DATE CLAIMED 2 July 1999 |
| TITLE OF INVENTION Diagnosis Method And Diagnosis System For Monitoring The Available Resources In A Production Process | | | | |
| APPLICANT(S) FOR DO/EO/US Martin DAFERNER and Stefan PUTZLOCHER | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | |
| 1. | <input checked="" type="checkbox"/> | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | |
| 2. | <input type="checkbox"/> | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371 | | |
| 3. | <input checked="" type="checkbox"/> | This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay Examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). | | |
| 4. | <input checked="" type="checkbox"/> | A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. | | |
| 5. | <input checked="" type="checkbox"/> | A copy of the International Application as filed (35 U.S.C. 371(c)(2)). | | |
| | a. | is transmitted herewith (required only if not transmitted by the International Bureau). | | |
| | b. | <input checked="" type="checkbox"/> | has been transmitted by the International Bureau (Form PCT/IB/308) | |
| | c. | is not required, as the application was filed in the United States Receiving Office (RO/US) | | |
| 6. | <input checked="" type="checkbox"/> | A translation of the International Application into English (35 U.S.C. 371(c)(2)). | | |
| 7. | <input checked="" type="checkbox"/> | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | |
| | a. | are transmitted herewith (required only if not transmitted by the International Bureau). | | |
| | b. | have been transmitted by the International Bureau. | | |
| | c. | have not been made; however, the time limit for making such amendments has NOT expired. | | |
| | d. | <input checked="" type="checkbox"/> | have not been made and will not be made. | |
| 8. | A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | |
| 9. | <input checked="" type="checkbox"/> | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (unexecuted) | | |
| 10. | A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | |
| Item 11. to 16. below concern other document(s) or information included: | | | | |
| 11. | <input checked="" type="checkbox"/> | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | |
| 12. | <input type="checkbox"/> | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | |
| 13. | <input type="checkbox"/> | A FIRST preliminary amendment. | | |
| | <input type="checkbox"/> | A SECOND or SUBSEQUENT preliminary amendment. | | |
| 14. | <input type="checkbox"/> | A substitute specification and marked-up copy thereof. | | |
| 15. | <input type="checkbox"/> | A change of power of attorney and/or address letter. | | |
| 16. | <input type="checkbox"/> | Other items or information: | | |
| a. | Three sheets of drawings (Figures 1-3). | | | |
| b. | PCT/IB/308 | | | |
| c. | | | | |

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5 10/019699 | INTERNATIONAL APPLICATION NO. PCT/EP00/05500 | ATTORNEY'S DOCKET NUMBER 225/50818 | |
| 17. [X] The following fees are submitted: | | CALCULATIONS PTO USE ONLY | |
| Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO | | \$ 890.00 \$890.00 | |
| International preliminary examination fee paid to USPTO (37 CFR 1.482) | | \$ 690.00 | |
| No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) | | \$ 740.00 | |
| Neither international preliminary examination fee (37 CFR 1.482) nor International search fee (37 CFR 1.445(a)(2) paid to USPTO | | \$ 1000.00 | |
| International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) | | \$ 100.00 | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | \$890.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [X] 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | \$130.00 | |
| Claims | Number Filed | Number Extra | Rate |
| Total Claims | 8 - 20 = | 0 | X \$18.00 \$ |
| Independent Claims | 2 - 3 = | 0 | X \$84.00 \$ |
| Multiple dependent claims(s) (if applicable) | | + \$280.00 \$ | |
| TOTAL OF ABOVE CALCULATIONS= | | \$1,020.00 | |
| Applicant claims Small Entity Status (See 37 CFR §1.27) [] yes [] no. Reduction by 1/2 for filing by small entity, if applicable. | | \$ | |
| SUBTOTAL = | | \$1,020.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | \$ | |
| TOTAL NATIONAL FEE = | | \$1,020.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28,3.31). \$40.00 per property + | | \$ | |
| TOTAL FEE ENCLOSED = | | \$1,020.00 | |
| | | Amount to be: refunded \$ | |
| | | Charged \$ | |
| <p>a. [X] One check in the amount of \$1,020.00 for the filing fee is enclosed</p> <p>b. [] Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. [X] The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 05-1323 (Attorney Docket No. 225/50818). A duplicate copy of this sheet is enclosed.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> | | | |
| SEND ALL CORRESPONDENCE TO: | | <i>Gary R. Edwards</i> | |
| Crowell & Moring, L.L.P. | | SIGNATURE | |
| Intellectual Property Group | | Gary R. Edwards | |
| P.O. Box 14300 | | NAME | |
| Washington, D.C. 20044-4300 | | 31,824 . | |
| Tel. No. (202) 624-2500 | | REGISTRATION NUMBER | |
| Fax No. (202) 628-8844 | | January 2, 2002 | |
| | | DATE | |